

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (Please write complete name as per Certificate of Incorporation / Registration; leaving one box blank between 2 words. Please do not abbreviate the Name).

2. Date of Incorporation | d | d | / | m | m | / | y | y | y | y | Place of Incorporation |

3. Registration No. (e.g. CIN) Date of commencement of business / /

4. **Status** Please tick (✓) ☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Partnership ☐ Trust / Charities / NGOs ☐ FI ☐ FII ☐ HUF
☐ AOP ☐ Bank ☐ Government Body ☐ Non-Government Organisation ☐ Defence Establishment ☐ Body of Individuals ☐ Society ☐ LLP
 Others (Please specify) _____

5. Permanent Account Number (PAN) (MANDATORY)	Please enclose a duly attested copy of your PAN Card
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B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City / Town / Village			
State			

[illegible]

2. Contact Details

Tel. (Off.) (ISD) (STD)

Tel (Res) (ISD) (STD)

Mobile	(ISD)	(STD)
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(Res)	(ISD)	(STD)
Fax	(ISD)	(STD)

F-Mail Id

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Bank Account Statement ☐ Registered Lease / Sale Agreement of Office Premises
☐ Any other proof of address document (as listed overleaf). (Please specify)

*Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** | d | d | / | m | m | / | y | y | y | y

4. Registered Address (If different from above)

City / Town / Village			
State			

					Postal Code
Country					

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Bank Account Statement ☐ Registered Lease / Sale Agreement of Office Premises

☐ Any other proof of address document (as listed overleaf). (Please specify)

*Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** / /

C. Other Details (please see guidelines overleaf)

1. Name, PAN, DIN/Aadhaar Number, residential address and photographs of Promoters/Partners/Karta/Trustees/whole time directors
(Please use the Annexure to fill in the details)

2. Any other information:

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: _____

Date: _____

**NAME & SIGNATURE(S)
OF AUTHORISED
PERSON(S)**

FOR OFFICE USE ONLY

AMC/Intermediary name OR code : 1200000106

ROHA WELLWORTH SECURITIES PVT. LTD.

☐ (Originals Verified) Self Certified Document copies received☐ (Attested) True copies of documents received

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature _____

Date

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant _____

Sr. No.	PAN	Name	DIN (For Directors)/ Aadhaar Number (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph

Name & Signature of the Authorised Signatory(ies) _____ Date

	d		d
	/		/
	m		m
	/		/
	y		y
	/		/
	y		y

DECLARATION OF JOINT FAMILY
(Applicable only for HUF Accounts)

VOLUNTARY

To,
ROHA WELLWORTH SECURITIES PVT. LTD.
501 Akruti Orion, 5th Floor, Shradhanand Road,
Vile Parle (East), Mumbai - 400 057.

Date : _____

Trading Code : _____

BO Client ID : 12025500

1. WHEREAS the Hindu Undivided Family of _____
(hereinafter referred to as 'the said joint family') carrying on business in the firm name and style of _____ at _____ or elsewhere (hereinafter referred to as the said HUF), have or desire to have Share Trading A/C with Roha Wellworth Securities Pvt. Ltd. (hereinafter referred to as 'Member') we, the undersigned, hereby declare.
- (a) that we are the present adult co-parceners of the said joint family ;
 - (b) that Sh _____ is the present Karta or Manager of the said Joint Family.
 - (c) that we are entitled to trade in shares and open Share Trading Account of the said Joint Family.
 - (d) that each one of us has full and unrestricted authority to act on behalf, and bind, the said H U F and all the present as well as future members, both adults and minors, of the said joint family, howsoever constituted from time to time.
2. We confirm that the affairs of the said joint family and the business of the said H U F are carried on mainly by the Karta/Manager, the said Sh _____ on behalf of and in the interest and for the benefit of all co-parceners of the said joint family. We hereby authorize the Karta / Manager Sh _____ on behalf of the HUF to deal on Capital Market segment (CM), Futures and Options segment (F&O), Currency Derivatives or any other segment that may be introduced by BSE / NSE in future and the said Trading Member is hereby authorized to honor all instructions oral or written given by him on behalf of the HUF.
- Sh. _____ is authorised to sell, purchase, transfer, endorse, negotiate documents and/or otherwise deal through Roha Wellworth Securities Pvt. Ltd. Ltd. on behalf of the HUF. He is also authorised to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. We are, however, jointly and severally responsible for all liabilities of the said HUF to the Member and agree and confirm that any claim due to the Member from the HUF shall be recoverable from the assets of any one or all of us and also from the estate of the said joint family including the interest thereon of every co-parcener of the said Joint family, including the share of the minor co-parceners, if any.
3. We undertake to advise the Member in writing of any change that may occur in the Karta/Managership or in the constitution of the said joint family or of the said HUF and until receipt of such notice by the Member, the Member will be entitled to regard each of us as a member of the said joint family and as a partner of the said HUF and all acts, dealings and transactions purporting to have been done on behalf of the said joint family or of the said HUF before the Member shall have received notice in the manner aforesaid, shall be binding on the said joint family and the said HUF and on their respective estates. We shall, however continue to be liable jointly and

severally to the Member for all dues and obligations of the said HUF in the Member's book on the date of the receipt of such notice by the Member and until all such dues and obligations shall have been liquidated and discharged.

4. We recognize that beneficiary account can be opened with Depository Participant only in the name of Karta as per regulations. To facilitate the operation of the above share trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading operations, we authorize you to recognise the beneficiary account no. with Depository opened in the name of Sh _____ who is the Karta / Manager of this HUF.

Name of Co Parcener	Relationship	Date of Birth	Gender	Signature
1} _____				
2} _____				
3} _____				
4} _____				
5} _____				
6} _____				

5. We have received and read a copy of the Member's rules and regulations for the conduct of Share Trading Account and we agree to comply with and be bound by the said rules now in force or any changes that may be made therein from time to time.

Yours faithfully

☺ _____

Date _____