

PLEDGE REQUEST FORM (PRF)
☐ Setup of Pledge ☐ Confirmation of Pledge ☐ Rejection of Pledge

 Please fill all the details in **Block Letters** in English

PRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details												
DP ID	1	2	0	2	5	5	0	0	Client ID			
Pledgor's Name	1. 2. 3.											

Pledgee's Details												
DP ID									Client ID			
Pledgee's Name	1. 2. 3.											

Details of Securities:	☐ Free Securities ☐ Locked-in Securities
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Details of Securities offered for Pledge.							
Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y
Pledge Reason [tick mark whichever is applicable]	Collateral -Debt I issuance by Co./Grp Co.	Collateral for loan by Company/Gro up Co	Collateral for loan by the Third Party	Margin Pledge/MTF for Exchange Trade	Personal use by promoters and PACs			
	Collateral for Self Loan	CUSPA Pledge	MFOS Pledge					

PAN of the Ultimate Lender :

Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable]	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.

To be filled and signed in case of Set-up/ Rejection of Pledge by Pledgor BO

Signature of the Pledgor		
First/ Sole Applicant	Second Applicant	Third Applicant

To be filled in case of acceptance/Rejection of Pledge by Pledgee BO

Signature of Pledgee(s) *		
First Holder	Second Holder	Third Holder

* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

For ROHA WELLWORTH SECURITIES PVT. LTD.

Seal & Signature

UNDERTAKING

- The pledgee undertakes to provide reasonable notice to the pledger and comply with the requirements of Sections 176 and 177 of the Indian Contract Act, 1872.
- The pledger and pledgee undertake to abide with the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and bye-laws in force from time to time, as may be applicable.

	Pledgor			Pledgee		
BOID						
Account Holder	Sole/First Holder	Second Holder	Third Holder	Sole/First Holder	Second Holder	Third Holder
Signature						